

Seascope Capital Management, LLC
Form CRS Customer Relationship Summary, June 30, 2020

<p>Introduction</p>	<p>Seascope Capital Management, LLC (SCM) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisors, and investing</p>
<p>What Investment Services and advice can you provide me?</p>	<p>SCM offers investment advisory services to retail investors generally with an account value of \$1 million or more. Our primary business is built on discretionary portfolio management services. Before we begin constructing a portfolio, we start with an extensive interview process to make sure we consider all relevant aspects of a client’s net worth, circumstances, and priorities. Then we create investment plans, retirement plans, or financial plans as appropriate.</p> <p>We exercise discretion as to the timing, selection, and amount of securities to be transacted. We review accounts regularly. We also check cash balances for liquidity needs and asset allocation levels. We review bond holdings as to credit analysis, risk premiums, or interest rate changes. Finally, we always review our clients’ portfolios with an eye toward their objectives, specific requirements, and personal circumstances.</p> <p>For additional information, please see our Form ADV, Part 2A, Items 4 and 7.</p> <p>Conversation Starters. Ask your financial professional -</p> <ul style="list-style-type: none"> • Given my financial situation, should I choose an investment advisory service? Why or why not? • How will you choose investments for my portfolio? • What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?
<p>What fees will I pay?</p>	<p>You will pay an asset-based fee for our wealth management services the details of which are disclosed in our Form ADV, Part 2A, Item 5. The specific fee for each investor will be defined in that investor’s comprehensive portfolio management agreement. We do not charge a separate fee for financial planning. The more assets there are in a retail investor’s account, the more a retail investor will pay in fees, and SCM may therefore have an incentive to encourage the retail investor to increase the assets in their account. Advisory fees are payable quarterly, in advance, and are based on a percentage of the market value of assets under management on the last day of the previous quarter for a given client. Fees will be automatically deducted from the client’s account at the custodian based on the client’s authorization to directly debit fees in accordance with the terms of the advisory agreement. Our fees are separate and distinct from fees and expenses that may be imposed by any broker dealer(s) or qualified custodian(s), where the account may be held by other third parties. These other fees may include custodial fees, brokerage commissions, or charges imposed by a mutual fund.</p> <p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For additional information please see SCM’s Form ADV, Part 2A, Item 4 and 5.</p> <p>Conversation Starters – Ask your financial professional - Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</p>
<p>What are your legal obligations to me when acting as my</p>	<p>When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You</p>

<p>investment adviser? How else does your firm make money and what conflicts of interest do you have?</p>	<p>should understand and ask us about these conflicts because they affect the recommendations we provide you. Here are some examples to help you understand what this means.</p> <p>One of our financial professionals is licensed to sell life insurance and may receive commissions for insurance sales. These commissions are separate from and in addition to the fees you pay us for wealth management services. The receipt of commission payments presents a conflict of interest and could give us an incentive to recommend insurance products. We address this conflict by disclosing it to you both here and in Item 5 of our Part 2A. Additionally, as a matter of general policy, we aggressively discourage activities that do not put your interests first and foremost.</p> <p>In addition to the insurance activities mentioned above, certain of our financial professionals are credentialed as a Certified Divorce Financial Analyst. Seascope established Northeast Divorce Settlement Consultants, LLC as a wholly owned subsidiary to separately offer divorce consulting that evaluates the tax implications of dividing property and the financial impact of various settlement options for dividing marital property and as well as other considerations. However, in order to avoid any potential conflicts of interest, Seascope clients who are experiencing a divorce are not required to use this service. Furthermore, we address this conflict by disclosing it to you both here and in Item 10 of our Part 2A. Additionally, as a matter of general policy, we aggressively discourage activities that do not put your interests first and foremost.</p> <p>Conversation Starter - Ask your financial professional. How might your conflicts of interest affect me, and how will you address them?</p>
<p>How do your financial professionals make money?</p>	<p>Financial Professionals receive compensation as a result of the fees you pay. Fees are earned to manage client's wealth through portfolio management and planning. Please refer to the firm's ADV Part 2 for the fee schedule. The professionals' compensation is not tied to the amount of assets they service. They earn commissions on various insurance products. They do not receive any incentive compensation from any product provider. They do not receive additional compensation for the revenue the firm makes on a professional's recommendations. Our professionals may also receive a fee for divorce counseling if engaged to do so in a separate contract .</p>
<p>Do your financial professionals have legal or disciplinary history?</p>	<p>No. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.</p> <p>Conversation Starter – Ask your financial professional As a financial professional, do you have any disciplinary history? For what type of conduct?</p>
<p>Additional Information</p>	<p>For additional information about our services, please request a copy of the SCM Form ADV, Part 2A. If you would like additional, up-to-date information or a copy of this disclosure, please call 603-964-4480.</p> <p>Conversation Starter – Ask your financial professional. Who is my primary contact person? Is he or she a representative of an investment-adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?</p>